

Neutrino Interactions from GeV to TeV Scale

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Chonnam National University

My experience with neutrinos

The first Lecture

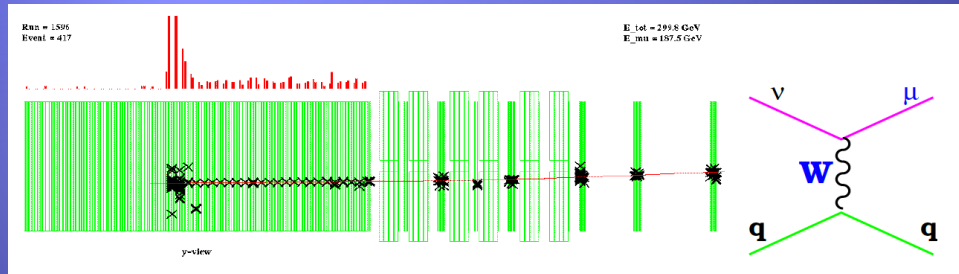
- PhD experiment with CCFR/NuTeV at Fermilab (1993-2000)
 - Differential Cross Sections and Structure Function Analyses
(PRL 87, 251802 (2001), PRL 87, 251802 (2001))
 - Global Structure Functions analyses (PRL 82, 2467 (1999))
 - Phenomenology studies (NNLO, non-pQCD effect etc): (Eur Phys J C13, 241 (2000))
- Postdoc with CDF at Fermilab (2000~2005)
 - Modelling Neutrino Cross Section: J. Phys. G 29 (2003) 1899,
while performing main job, CDF top quark physics

The Second Lecture

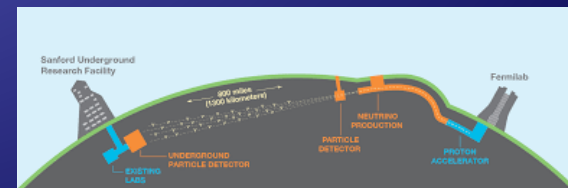
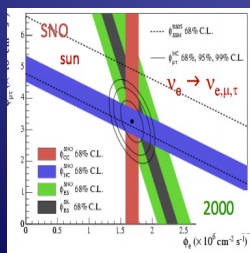
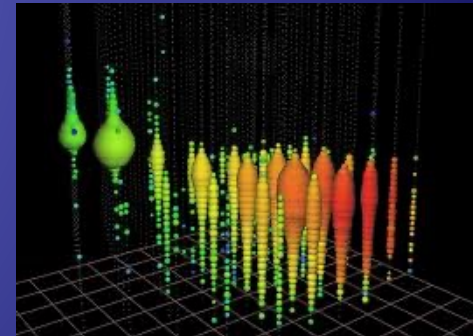
- ATLAS / CMS at Manchester (2006~2012) / Seoul National Univ. (2013~)
 - Search for heavy neutrinos

Neutrino Interactions

- Neutrino interactions at high Energy (10~500 GeV) have been used to probe parton dynamics inside nucleons for precision QCD studies, and electroweak physics in 1980~2000 (CDHSW, CHARM, CHORUS, CCFR, NuTeV)



- Ultra high energy neutrino interactions (>TeV scale) for astrophysics (IceCube, Antares)
- Neutrino Oscillations brings a precision QCD studies back, but in $E_\nu = \text{few GeV}$ region (T2K, Nova, Hyper-K, DUNE etc) since 2000



Precision QCD in neutrino

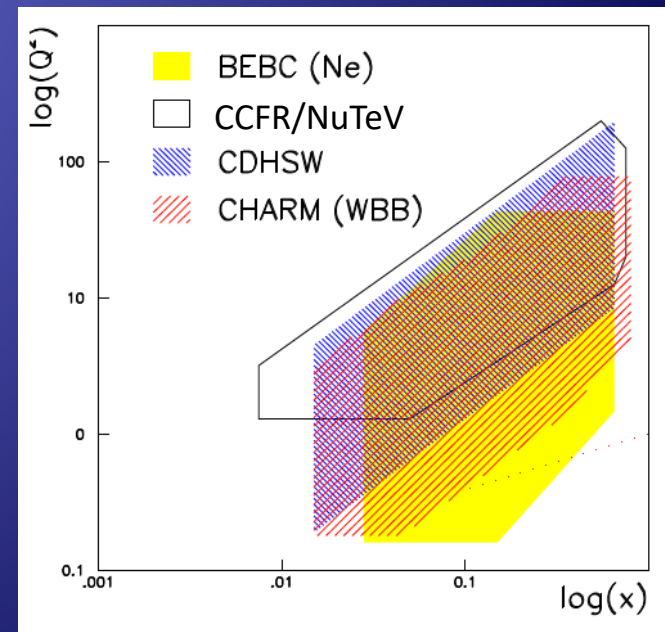
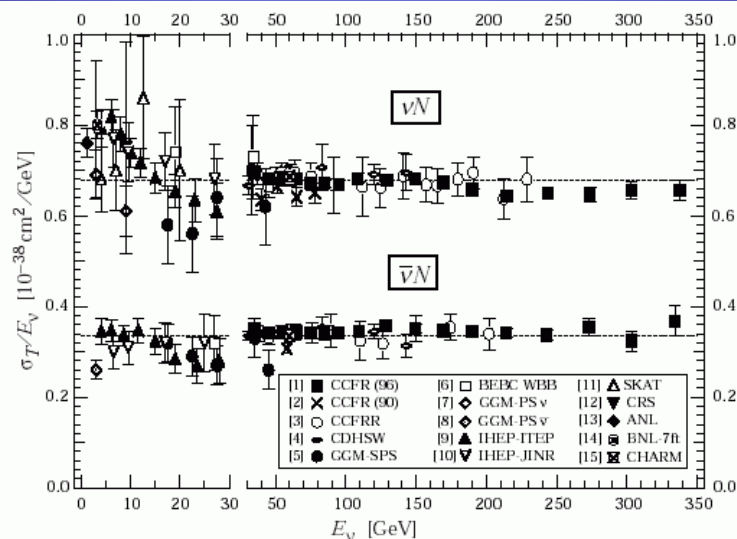
➤ High energy neutrino beam (10 ~ 300 GeV)

$$\frac{d^2\sigma}{dx dy}^{\nu(\bar{\nu})} = \frac{G^2 M E}{\pi} \left[\left(1 - y - \frac{Mxy}{2E}\right) F_2 + \frac{y^2}{2} 2xF_1 \pm y\left(1 - \frac{y}{2}\right) xF_3 \right]$$

$$F_{2,LO} = \sum_{i=u,d..} xq_i(x, Q^2) + x\bar{q}_i(x, Q^2)$$

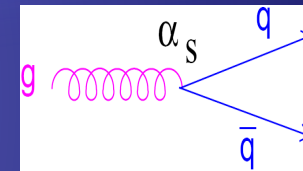
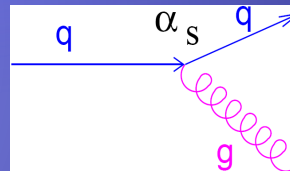
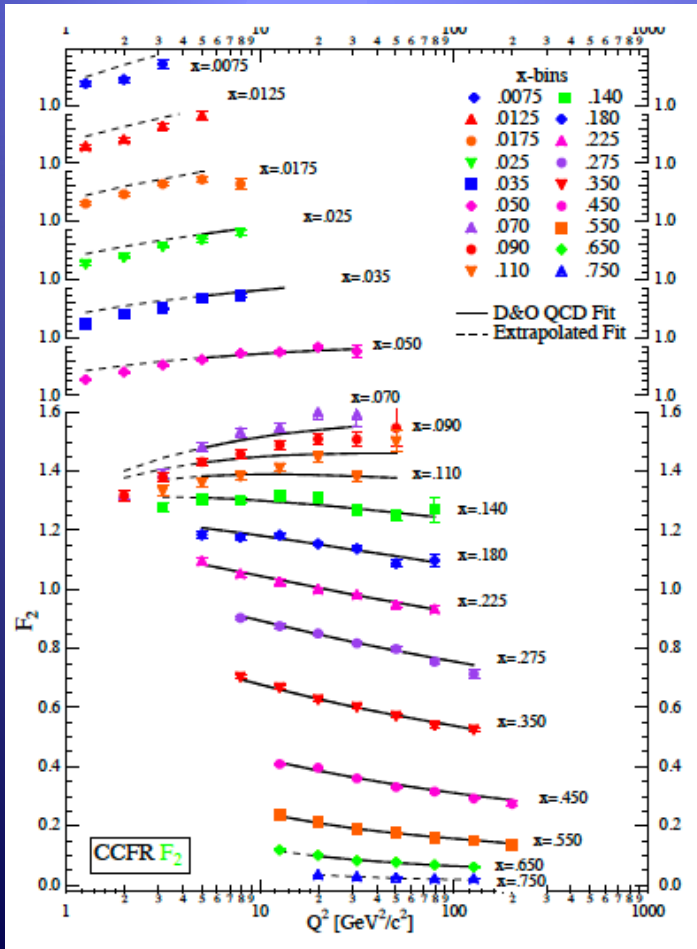
$$xF_{3,LO} = \sum_{i=u,d..} xq_i(x, Q^2) - x\bar{q}_i(x, Q^2)$$

$$R = \frac{F_L}{2xF_1} = \frac{F_2}{2xF_1} (1 + Q^2/\nu^2) - 1.$$

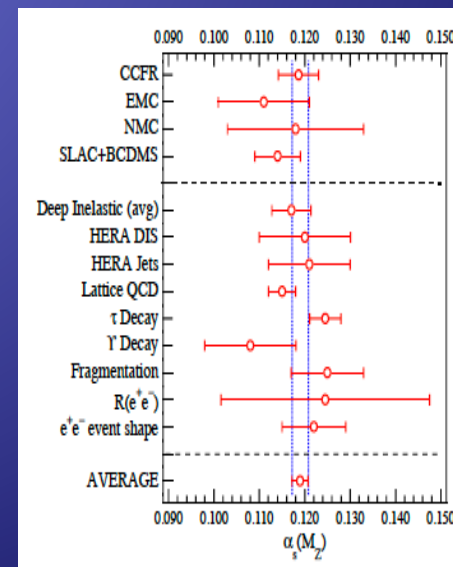


Precision QCD using Structure Functions (SFs)

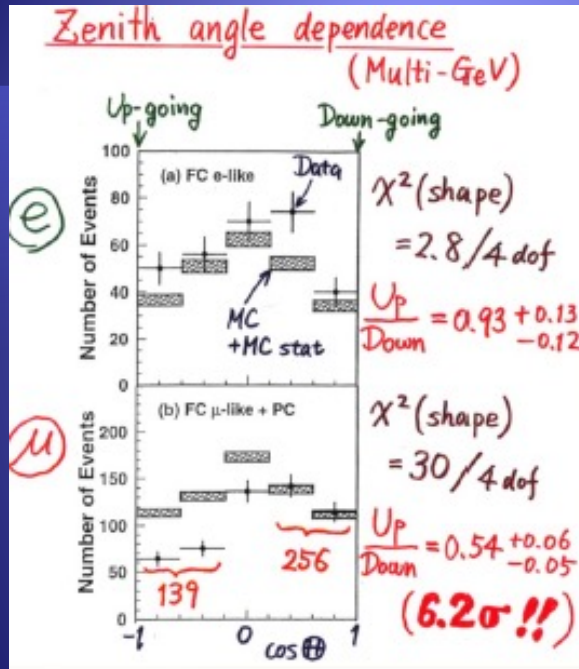
- DIS region: structure function QCD analysis (F_2 , xF_3)
- With DGLAP evolution from high Q^2 to low Q^2 : extract Λ_{QCD} & PDFs



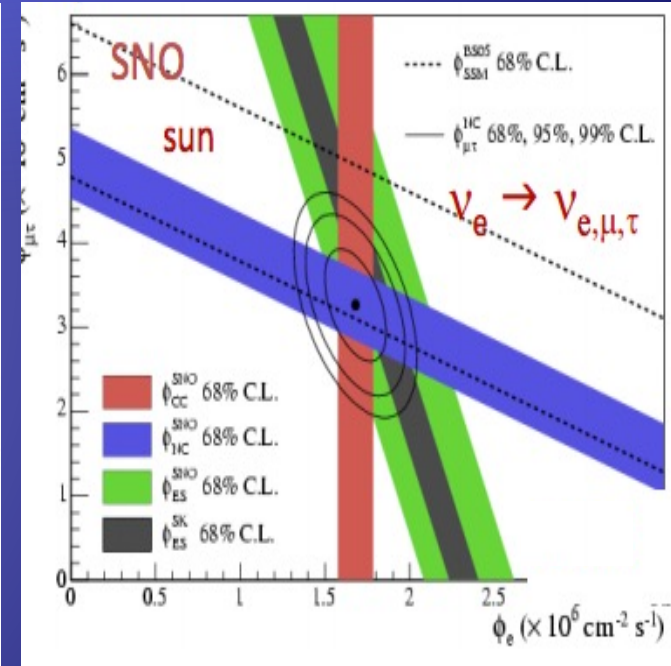
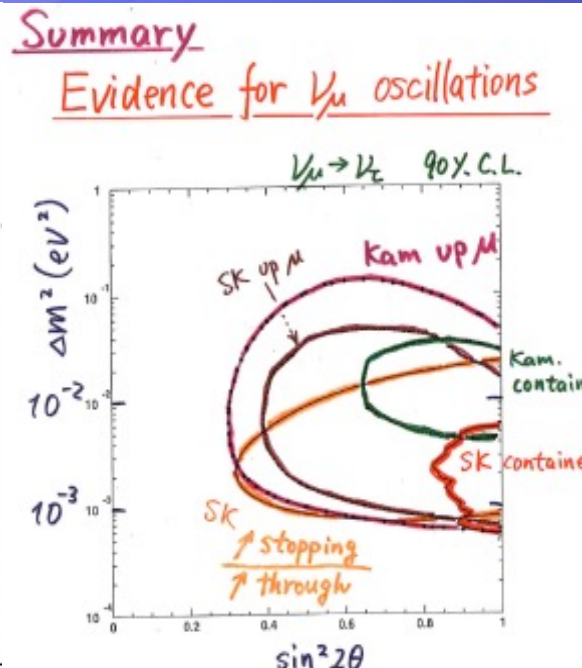
$$\frac{\partial q(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left(q(y, Q^2) P_{q \rightarrow qg} \left(\frac{x}{y} \right) + g(y, Q^2) P_{g \rightarrow q\bar{q}} \left(\frac{x}{y} \right) \right)$$



Neutrino Oscillations discovered



Neutrino 1998 by Kajita

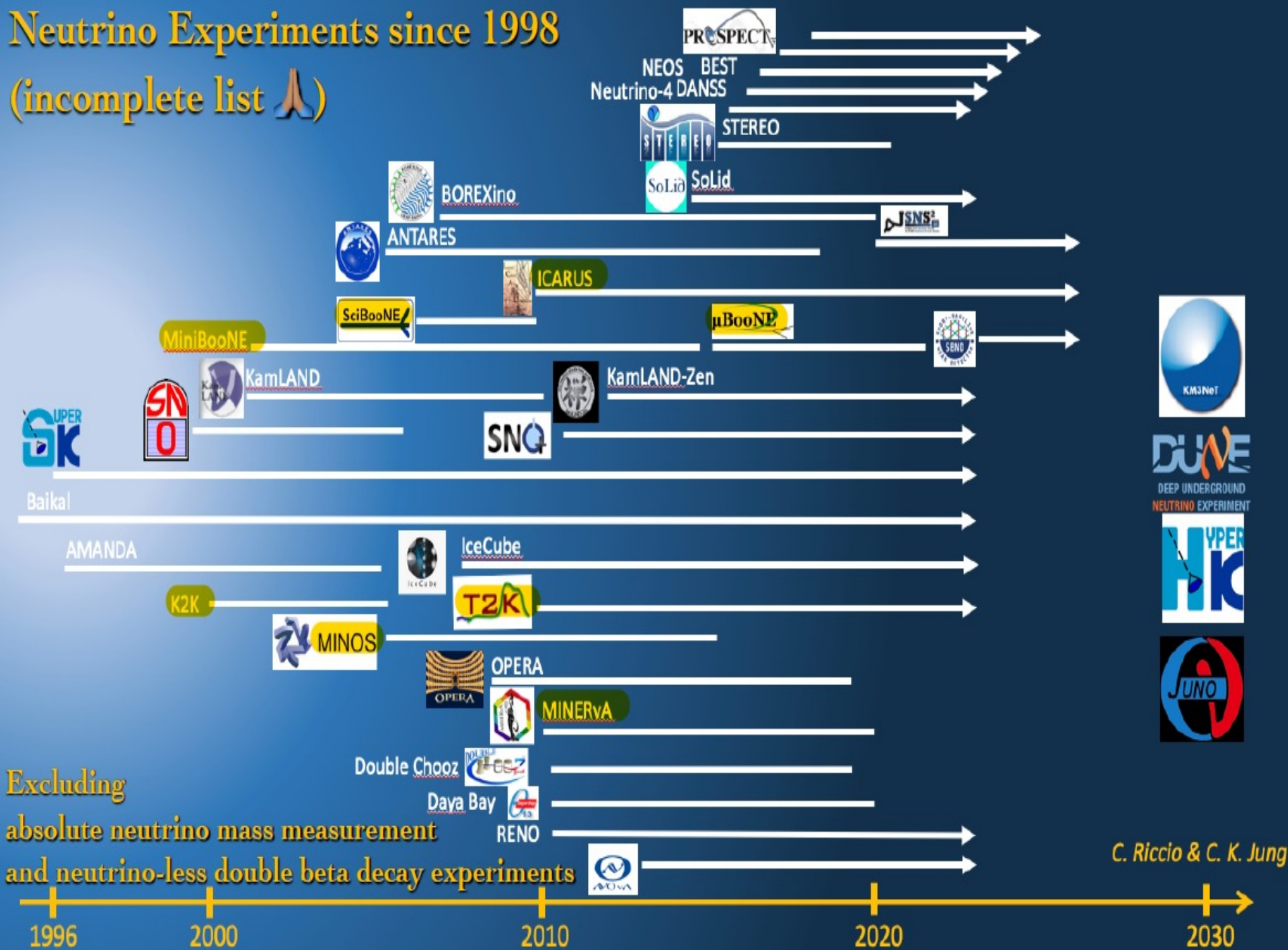


2002 by SNO

- Discovery of Neutrino Oscillations in 1998 brings precision neutrino physics in QCD, but in $E_\nu = \text{few GeV}$ region

Neutrino Experiments since 1998

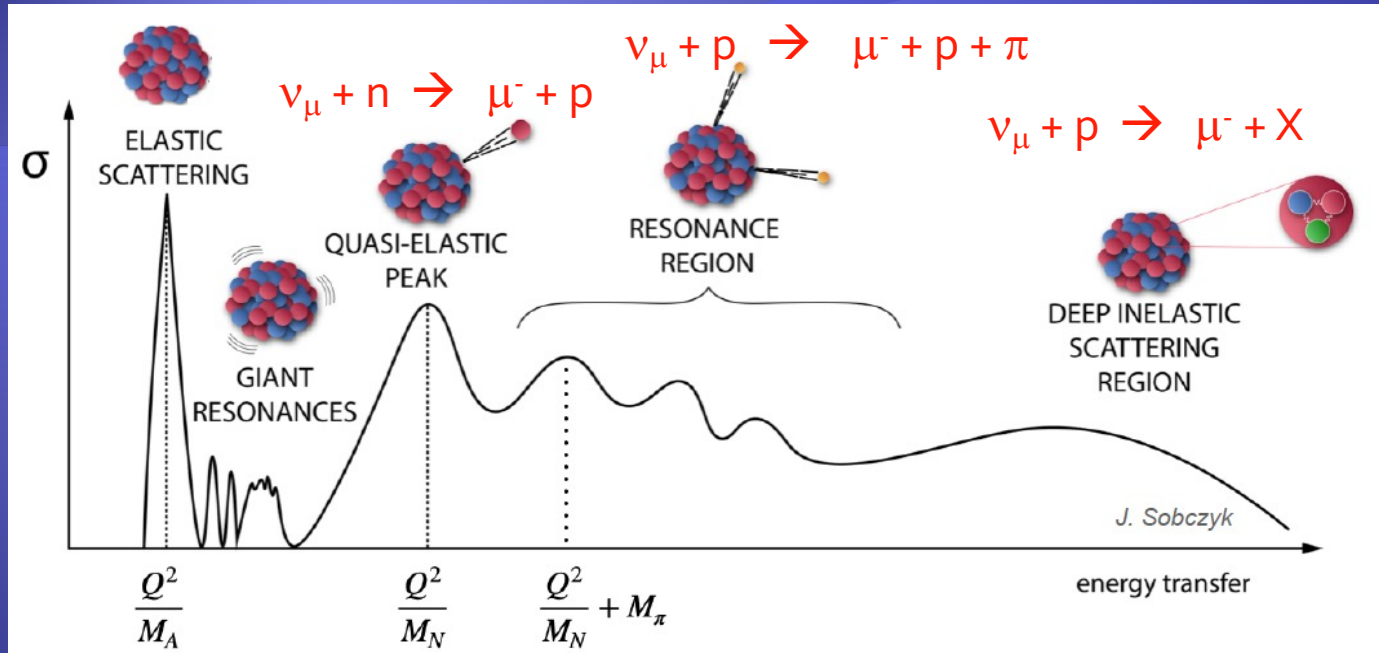
(incomplete list 🙏)



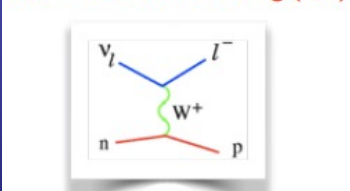
Physics Goals with Neutrinos

- Understanding of the matter-antimatter asymmetry in the Universe
 - CP violation
 - Leptogenesis
- Source of non-zero neutrino mass
 - Seesaw mechanism
 - BSM model for Grand Unification
 - Dirac vs Majorana
- Absolute scale of neutrino mass
- Study of astrophysical high E neutrinos
- Precision measurements for new physics
 - Precision measurements of neutrino oscillation parameters (PMNS matrix)
 - Non-standard interactions

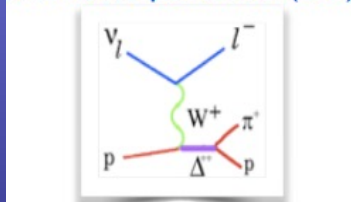
Neutrino-Nuclei Interactions



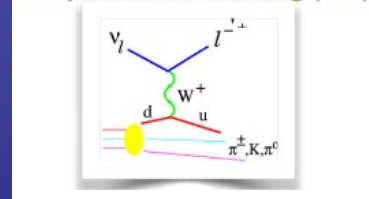
Quasi-elastic scattering (QE)



Resonance production (RES)



Deep Inelastic scattering (DIS)



- Neutrino oscillation experiments: beam energies from 0.1 to 10 GeV
- Resonance region overlapped with DIS region

Neutrino-Nuclei Interactions

□ Quasi-Elastic / Elastic ($W=Mn$):

$$\nu_\mu + n \rightarrow \mu^- + p$$

- well measured and described by form factors

□ Resonance (low Q^2 , $W < 2$ GeV):

$$\nu_\mu + p \rightarrow \mu^- + p + \pi$$

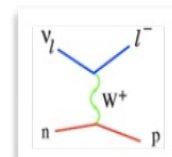
- poorly measured, only 1st resonance described by Regin and Seghal model

□ Deep Inelastic (high Q^2)

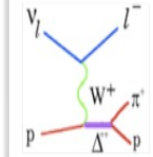
$$\nu_\mu + p \rightarrow \mu^- + X$$

- well measured by high energy experiments and well described by quark-parton model, but not at low Q^2 region
- Large overlap with resonance region

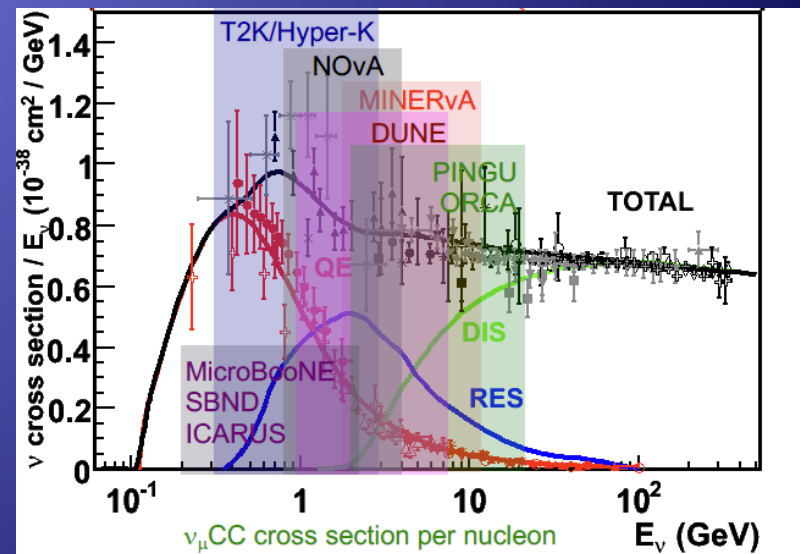
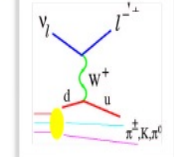
Quasi-elastic scattering (QE)



Resonance production (RES)

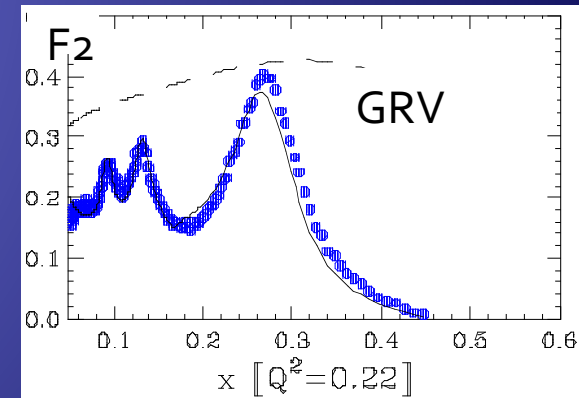
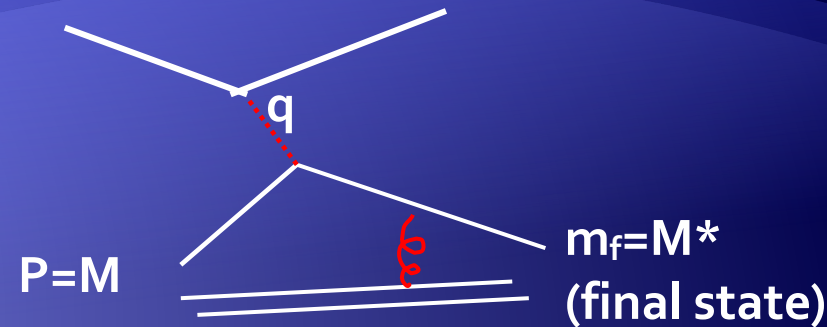


Deep Inelastic scattering (DIS)



Neutrino-Nuclei Cross Sections

- Can we build up a model to describe all Q^2 region from high down to very low energies ?
[DIS, resonance, even down to $Q^2=0$ limit]
- Describe them in terms of quark-parton model including resonance scattering
- With PDFs, it is straightforward to convert charged-lepton scattering cross sections into neutrino cross section.
(just matter of different couplings)



- Major Challenges
 - non-perturbative QCD effects (not resolving a single quark)
 - Large uncertainty on PDFs at high x & low Q^2
 - Overlapped with a DIS contribution
 - Additional axial-vector contribution in neutrino scattering

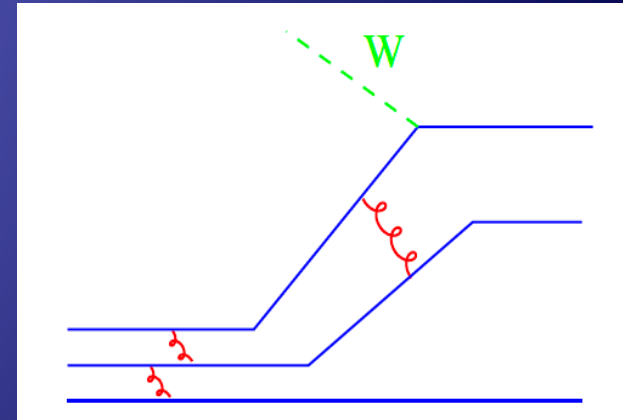
Non-pQCD effect at low Q^2

- Higher twist effect (dynamic): at low Q^2 , not able to resolve a single parton, but scattering with multi-partons
- The contributions from higher twist diagrams are suppressed by powers of $1/Q^2$

- Empirical model: use data to fit the higher twist effect using an empirical formular.

$$F_2(\text{LT}) (1+h_2(x)/Q^2 + h_4(x)/Q^4)$$

- Renormalon model: predict Q^2 , and x dependence on SFs: get the overall size from the data
- Enough SLAC/Jefferson Lab e-N DIS data in low Q^2 region not used by PDF groups



$$F_2(x, Q^2) = F_2^{\text{pQCD+TM}} \left[1 + \frac{D_2(x, Q^2)}{Q^2} + \frac{D_4(x, Q^2)}{Q^4} \right]$$

$$D_2(x, Q^2) = \frac{a_2}{q(x, Q^2)} \int_x^1 \frac{dz}{z} c_2(z) q(x/z, Q^2).$$

M. Dasgupta and B.R. Webber, Phys. Lett. **B382**, 273 (1996).

Non-pQCD effect at low Q^2

- Kinematic higher twist (target mass effect)
 - Nucleon target mass effect is not negligible
 - use Nachtmann variable

$$\xi = \frac{2x}{1 + \sqrt{1 + 4x^2 M^2 / Q^2}}.$$

--> Full calculations

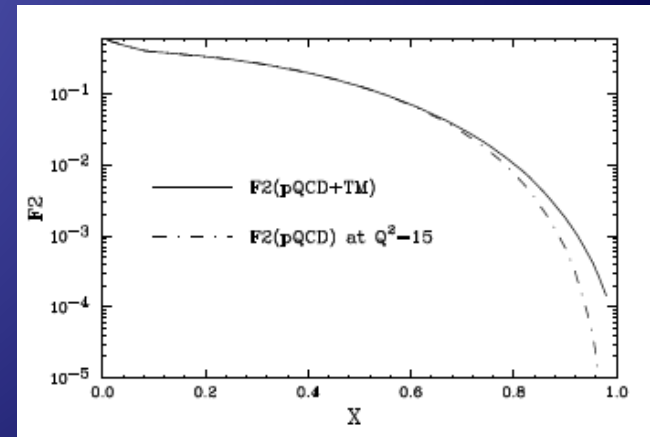
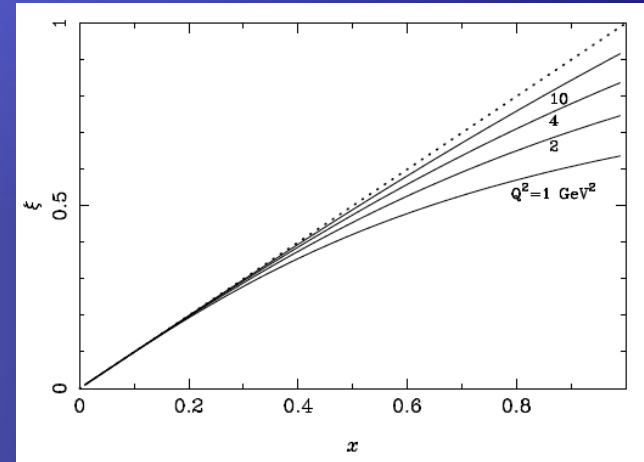
$$F_2^{\text{TMC}}(x, Q^2) = \frac{x^2}{\xi^2 r^3} F_2^{(0)}(\xi) + \frac{6M^2 x^3}{Q^2 r^4} h_2(\xi) + \frac{12M^4 x^4}{Q^4 r^5} g_2(\xi)$$

$$h_2(\xi, Q^2) = \int_{\xi}^1 du \frac{F_2^{(0)}(u, Q^2)}{u^2}$$

$$g_2(\xi, Q^2) = \int_{\xi}^1 du h_2(u, Q^2) = \int_{\xi}^1 du \int_u^1 dv \frac{F_2^{(0)}(v, Q^2)}{v^2}$$

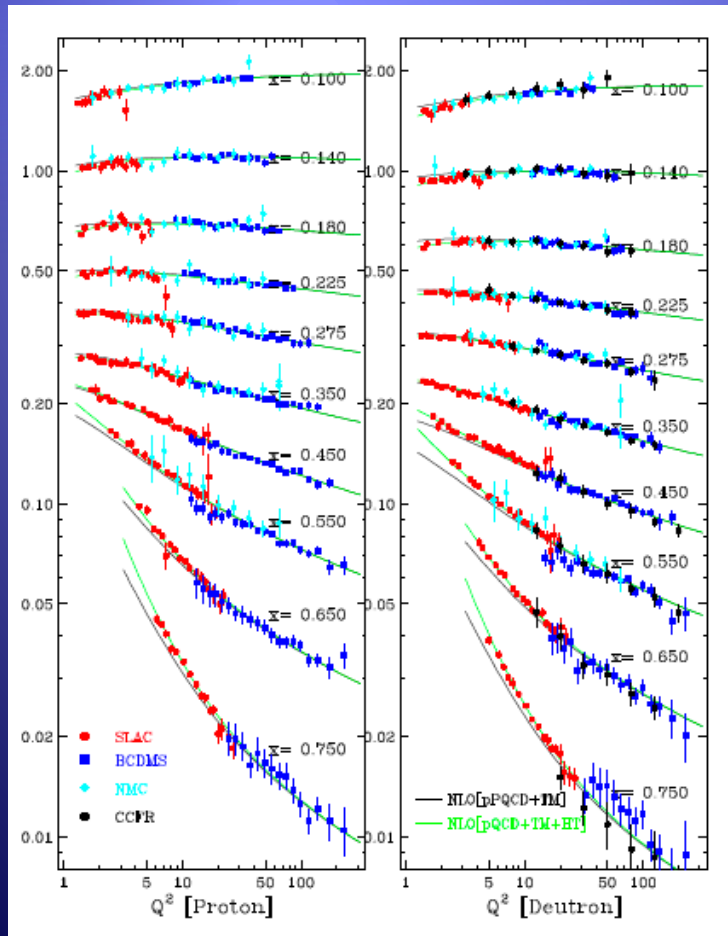
$$r = \sqrt{1 + \frac{4x^2 M^2}{Q^2}}$$

- A dominant player:
using Nachtmann variable

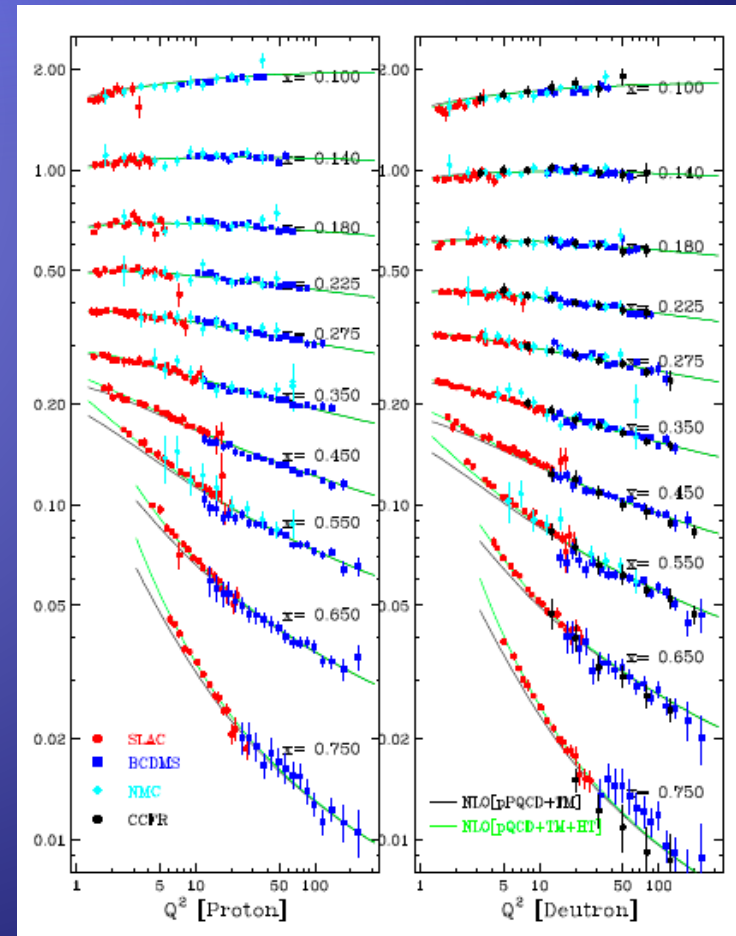


Higher Twist Effects 1

- Both higher twists models describe the SFs data ($0.1 < x < 0.75$) (SLAC, BCDMS, NMC, and R): CCFR shown for comparison



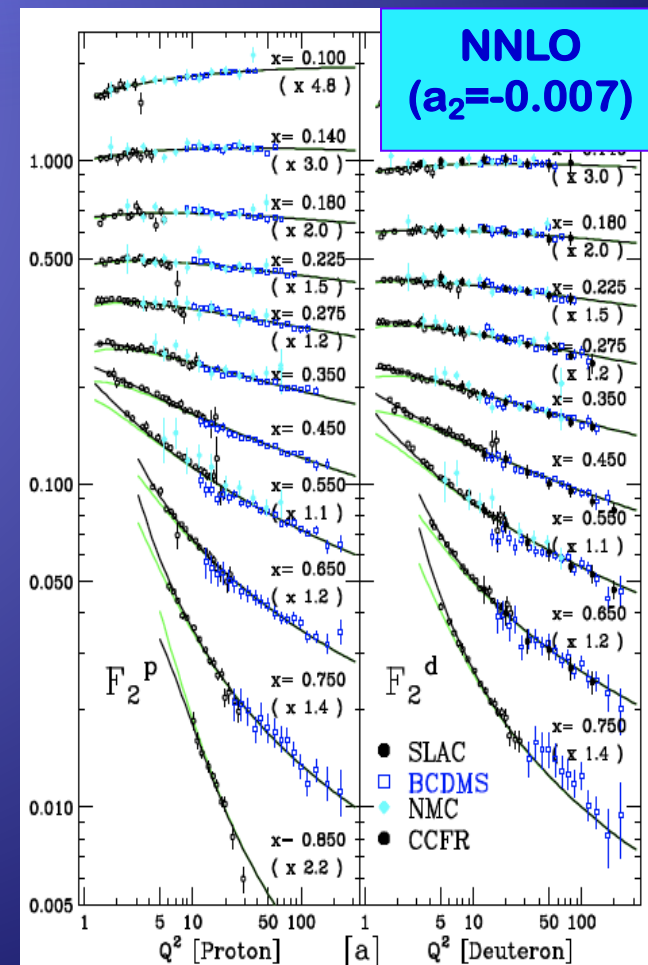
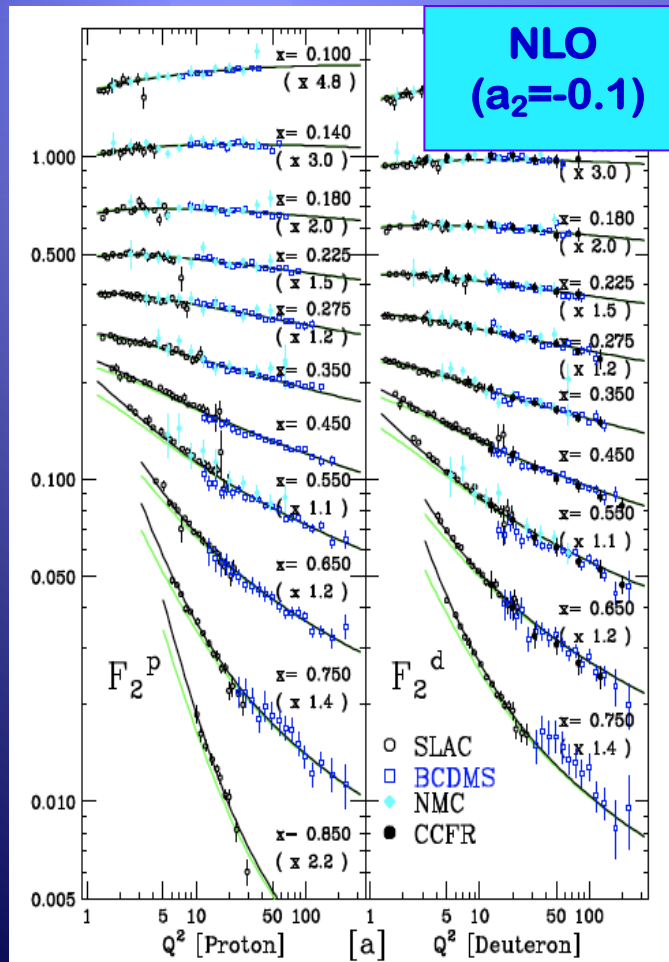
Empirical Model



Renormalon Model: $a_2 = -0.1$

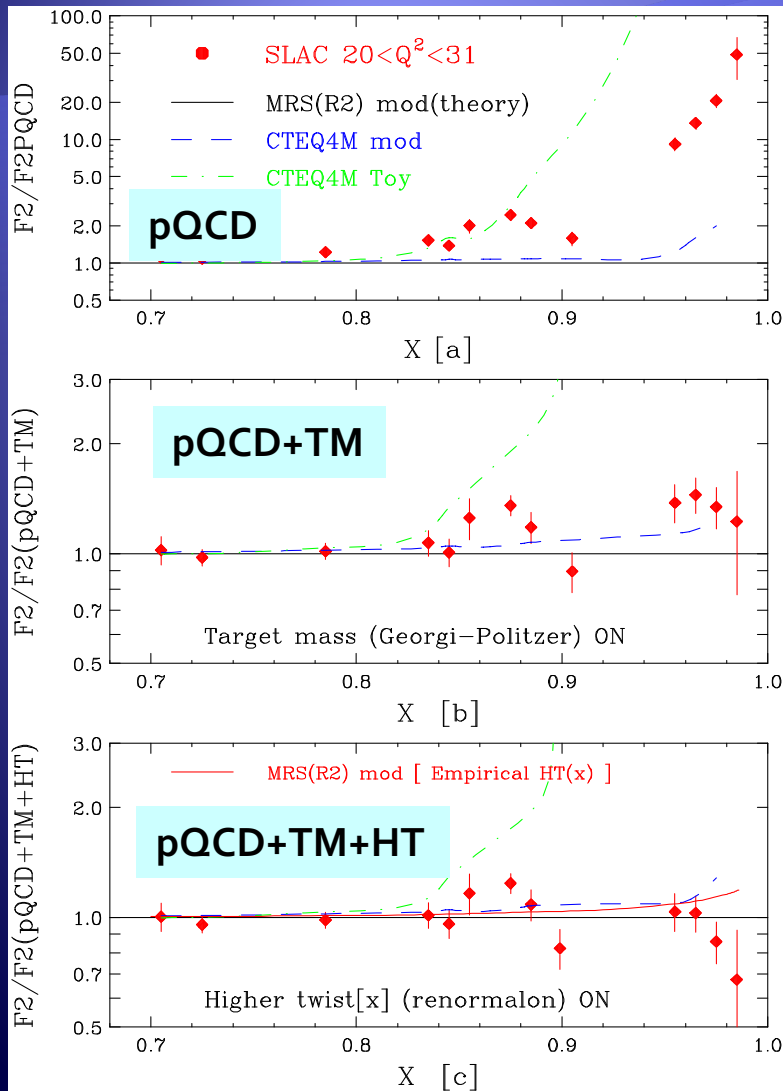
Higher Twist Effects 2

- Higher twist effects in NLO are similar to missing NNLO terms
- NNLO pQCD+TM can describe the non-perturbative QCD effects at low Q^2



Higher Twist Effects 3

➤ Very high x and low Q^2



Parton Distributions, d/u , and Higher Twist Effects at High x

U. K. Yang and A. Bodek
Phys. Rev. Lett. **82**, 2467 – Published 22 March 1999

- Very high x and low Q^2 data is well described by the pQCD+TM+HT
- Extraction of the high x PDF is promising (1999)
- still a large uncertainty (2025) at high x

Lessons from QCD studies

- **NLO & NNLO analyses** with DIS data: PRL 82, 2467 (1999), Eur. Phys. J. **C13**, 241 (2000) by Bodek and Yang
 - Kinematic higher twist (target mass) effects are large
 - Resonance region can be well described (**duality works**)
 - Most of dynamic higher twist corrections (in NLO analysis) are similar to missing NNLO higher order terms
 - Valence quarks PDFs up to $x=0.95$ in good agreement with data
- **NNLO pQCD+TM with NNLO PDFs can describe the non-perturbative QCD effects at low Q^2**
- **Thus, we reverse the approach to build the model:**
 - Use effective LO PDFs with a new scaling variable, ξ_w to absorb target mass, higher twist, missing QCD higher order

$$x_{Bj} = \frac{Q^2}{2M\nu}$$



$$\xi_w = \frac{Q^2 + B}{\{M\nu[1 + \sqrt{(1+Q^2/\nu^2)}] + A\}}$$

$$F_2(x, Q^2) \rightarrow \frac{Q^2}{Q^2 + C} F_2(\xi_w, Q^2)$$

Modeling Cross Sections for all Q^2 regions

➤ For MC Generator, Bodek-Yang LO approach: (pseudo NNLO)

- Use effective LO PDFs with a new scaling variable, ξ_w to absorb target mass, higher twist, missing QCD higher orders

$$x_{Bj} = \frac{Q^2}{2M\nu} \quad \rightarrow \quad \xi_w = \frac{Q^2 + B}{\{M\nu[1 + \sqrt{(1 + Q^2 / \nu^2)}] + A\}}$$

- Multiply all PDFs by K factors for photo production limit and higher twist

$$F_2(x, Q^2) \rightarrow \frac{Q^2}{Q^2 + C} F_2(\xi_w, Q^2)$$

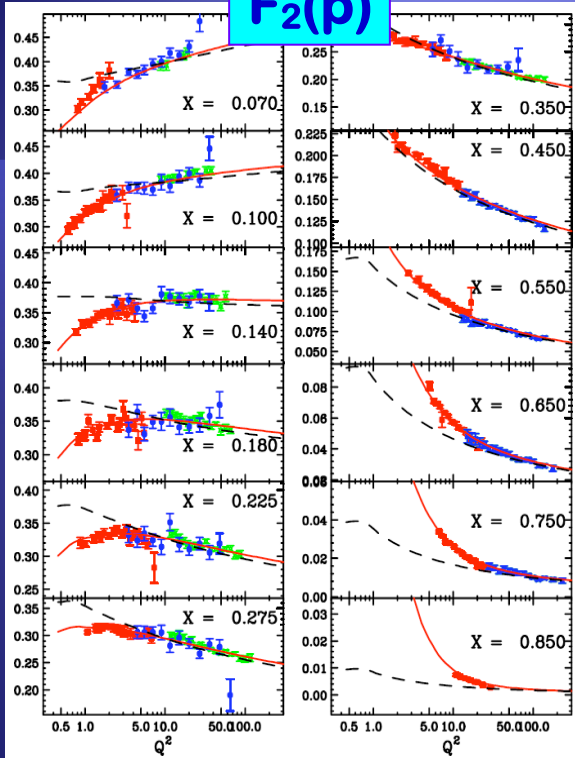
$$- K_{val}(u, d) = [1 - G_D^2(Q^2)] * [Q^2 + C_{2v}] / [Q^2 + C_{1v}],$$

$$\text{where } G_D^2(Q^2) = 1 / [1 + Q^2 / 0.71]^4 \text{ (motivated by Adler Sum Rule)}$$

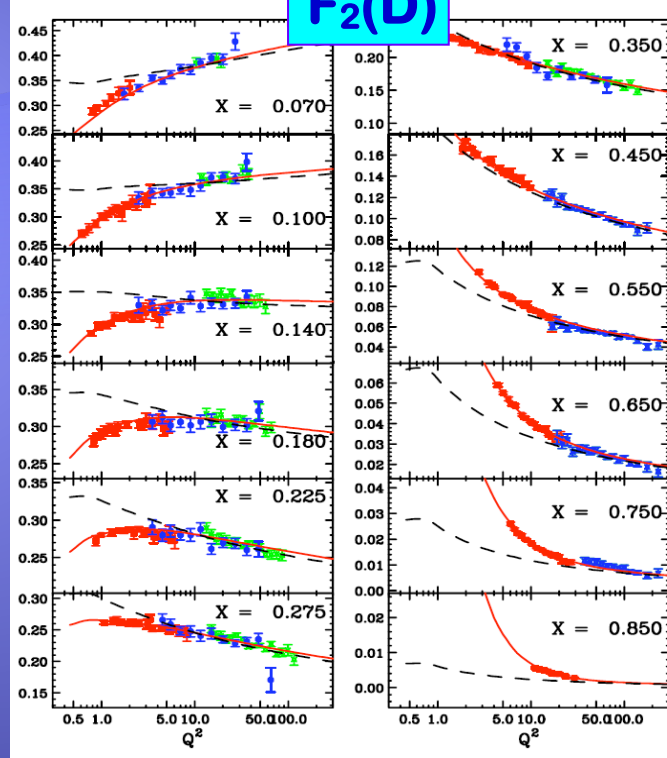
$$- K_{sea}(u, d, s) = Q^2 / [Q^2 + C_{sea}]$$

Fit to F_2 data and Predictions

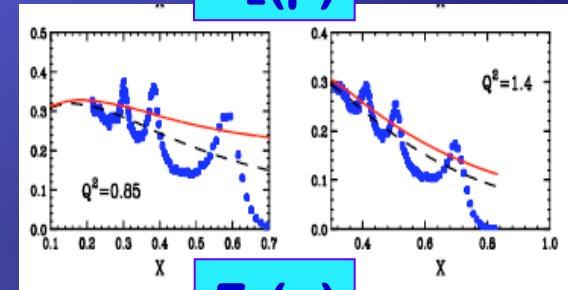
$F_2(p)$



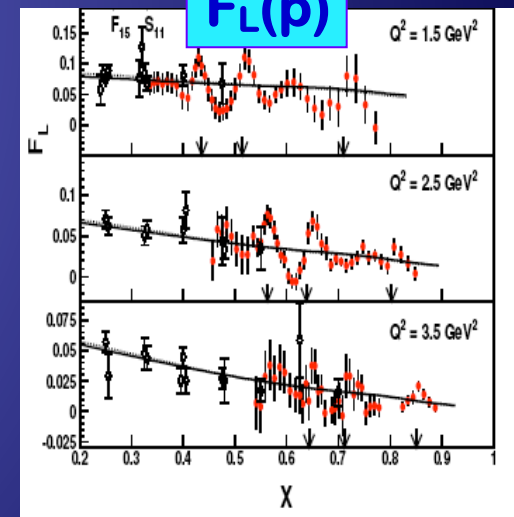
$F_2(D)$



$F_2(p)$



$F_L(p)$



— Effective LO

- - - X_{bj}

- Excellent Fitting results on all DIS F_2 , ($\chi^2/\text{DOF}=1235/1200$)
- Predictions for resonance region F_2 , F_L
- Quark-hadron duality works

Photo-production data

- In the BY model, freeze the DGLAP evolution at $Q^2 = Q^2_{\min}$

$$F_2(x, Q^2 < 0.8) = K(Q^2) * F_2(\xi w, Q^2=0.8)$$

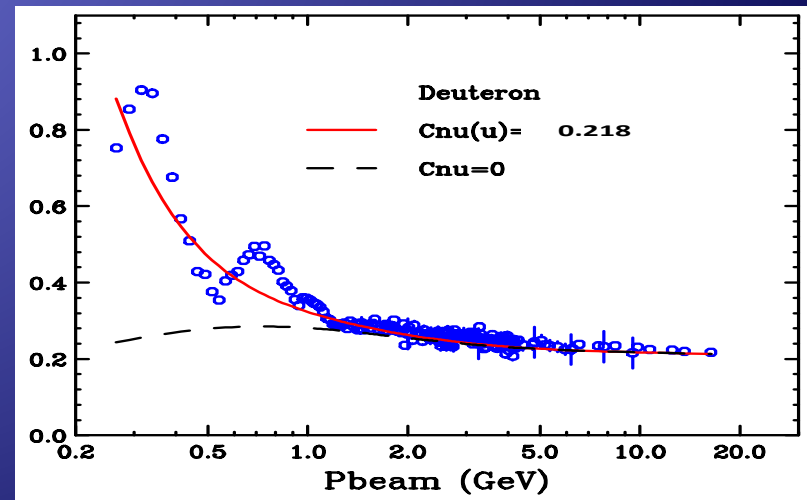
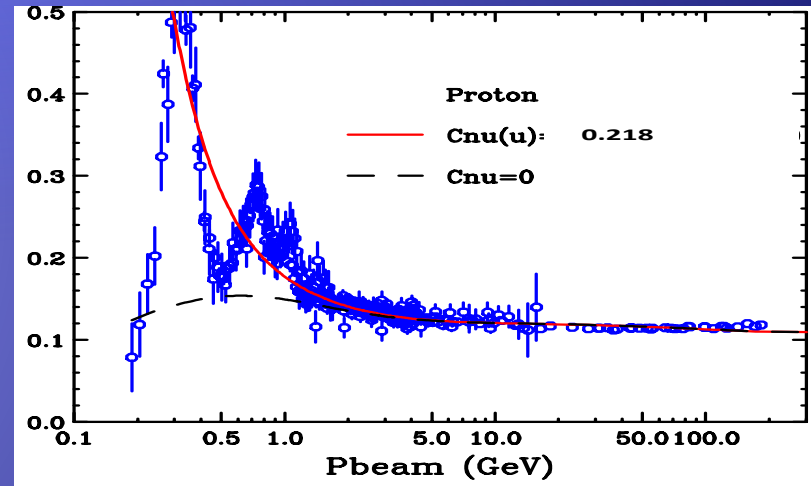
- Additional K^{LW} factor for valence quarks:

$$K_{val} = K^{LW} * \frac{[1 - G_p^2(Q^2)]}{[Q^2 + C_{2v}] / [Q^2 + C_{1v}]}$$

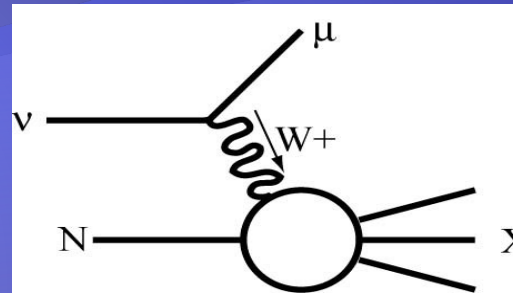
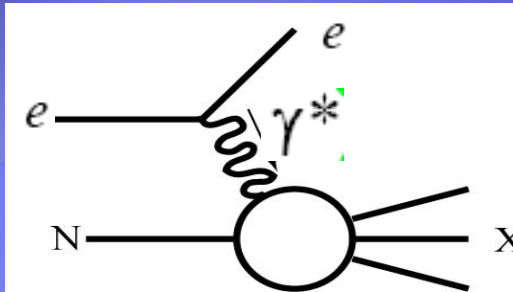
$$K^{LW} = (v^2 + C^v) / v^2$$

This makes a duality work all the way down to $Q^2=0$ (for charged leptons)

- Photo-production data with $v(P_{beam}) > 1$ GeV included in the fitting



e-N vs ν -N scattering cross sections



e-N DIS scattering

$$\frac{d^2\sigma}{dxdy} = \frac{4\alpha^2 ME}{Q^4} \left[\frac{y^2}{2} 2xF_1(x, Q^2) + (1-y)F_2(x, Q^2) \right]$$

ν -N DIS scattering

$$\frac{d^2\sigma^{\nu, \bar{\nu}}}{dxdy} = \frac{G_F^2 ME}{\pi} \left[\frac{y^2}{2} 2xF_1(x, Q^2) + (1-y)F_2(x, Q^2) \pm (y - \frac{y^2}{2}) xF_3(x, Q^2) \right]$$

▪ Charged-lepton (e, μ) scattering

$$F_2(p) = x[4/9(u+u) + 1/9(d+d) + 1/9(s+s)]$$

$$F_2(n) = x[4/9(d+d) + 1/9(u+u) + 1/9(s+s)]$$

assuming $d_p = u_n$

▪ Neutrino scattering

$$F_2(\nu) = x[(u+u) + (d+d) + (s+s)]$$

$$xF_3(\nu) = x[(u-u) + (d-d)]$$

Neutrino cross sections

- Effective LO model using parton model with ξw describe all DIS and resonance F_2 data as well as photo-production data ($Q^2=0$ limit): **vector contribution works well**
- Neutrino Scattering:
 - Do we see an axial vector contribution at low Q^2 ?
 - Nuclear effect in neutrino? different from charged lepton scattering data?
 - Heavy charm mass effect ? ($W^*+s \rightarrow c$) different from charged lepton scattering ($g \rightarrow cc, \gamma^* + c \rightarrow c$)
 - use ξw slow rescaling algorithm for F_2 , $2xF_1$, and xF_3
 - Effective LO model works for xF_3 ? Yes with NLO correction $f(x)$
 - Use $R=R_{1998}$ to get $2xF_1$

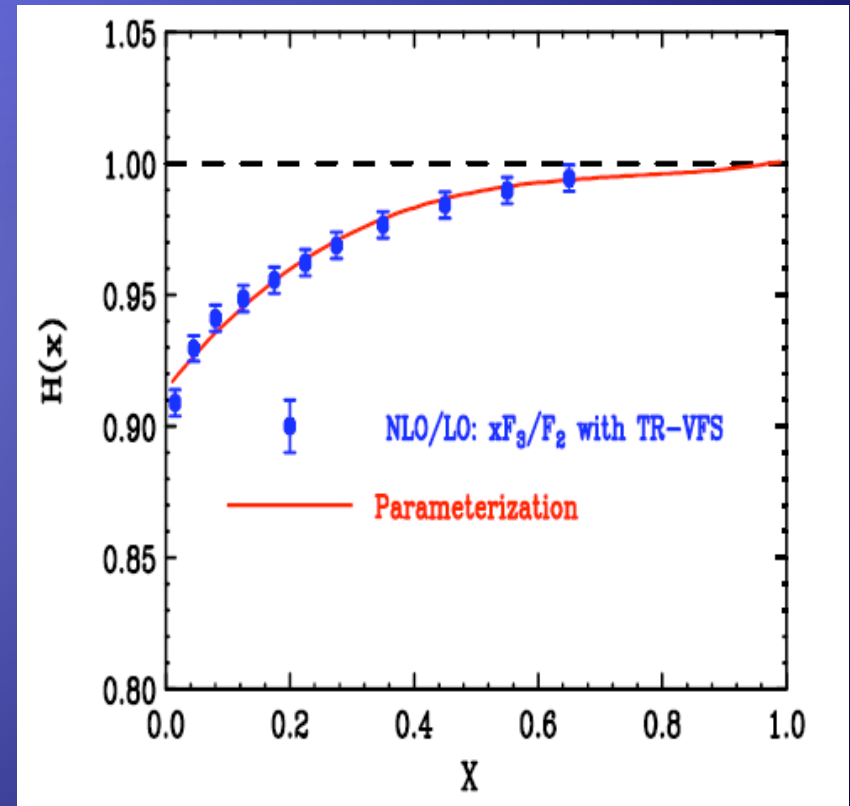
Effective LO model for νF_3 ?

- Scaling variable, ξw absorbs higher order effect for F_2 , but the higher order effects for νF_3 can be different
- Use NLO QCD to get double ratio

$$H(x) = \frac{x F_3(\text{NLO})}{x F_3(\text{LO})} / \frac{F_2(\text{NLO})}{F_2(\text{LO})}$$

not 1 but almost indep. of Q^2

- Enhance anti-neutrino cross section by 3%



Axial Vector Structure Functions

- At high Q^2 , vector and axial vector contribution are same, but not at low Q^2
- K factors for axial contributions: type II

$$K_{sea}^{vector} = \frac{Q^2}{Q^2 + C} \Rightarrow K_{sea}^{axial} = \frac{Q^2 + 0.55C_{sea}^{axial}}{Q^2 + C_{sea}^{axial}}$$

$$K_{val}^{axial} = \frac{Q^2 + 0.1C_{val}^{axial}}{Q^2 + C_{val}^{axial}}$$

where $C_{sea}^{axial} = 0.75$, $C_{val}^{axial} = 0.18$

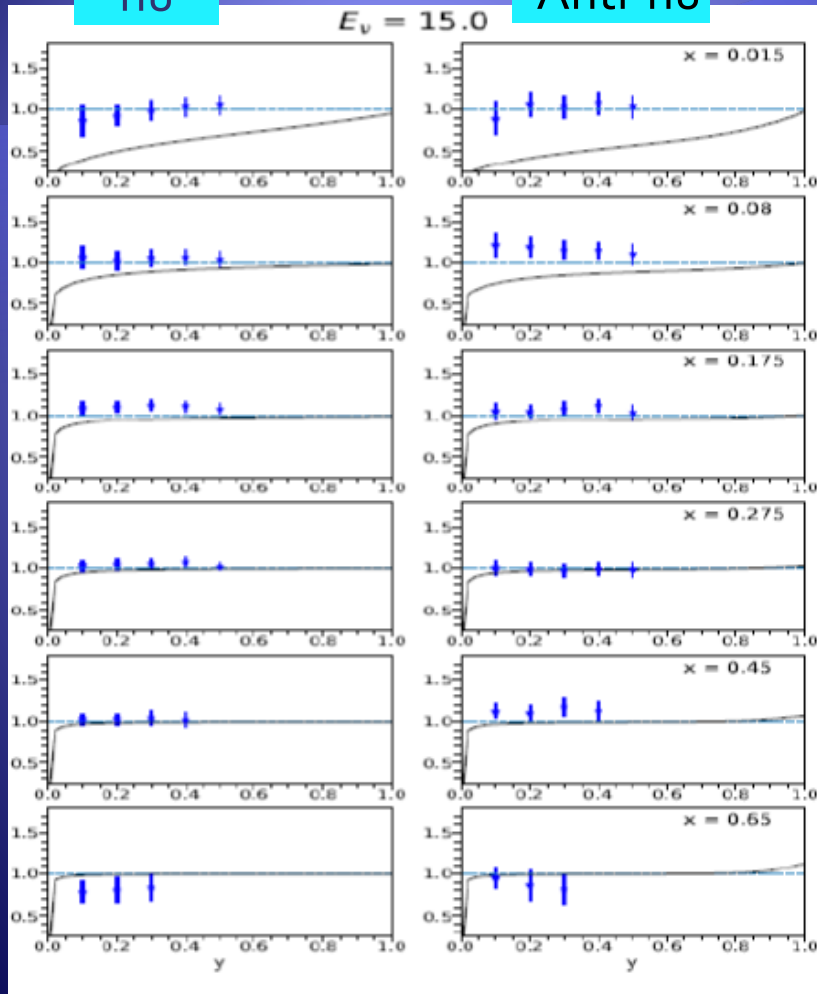
- 0.55 was chosen to satisfy the prediction from PCAC by Kulagin, agrees with CCFR/CHROUS data for F_2 extrapolation to ($Q^2=0$)
- But, the non-zero PCAC component of F_2^{axial} at low Q^2 : mostly longitudinal

$$2xF_1^{axial} = 2xF_1^{vector}$$

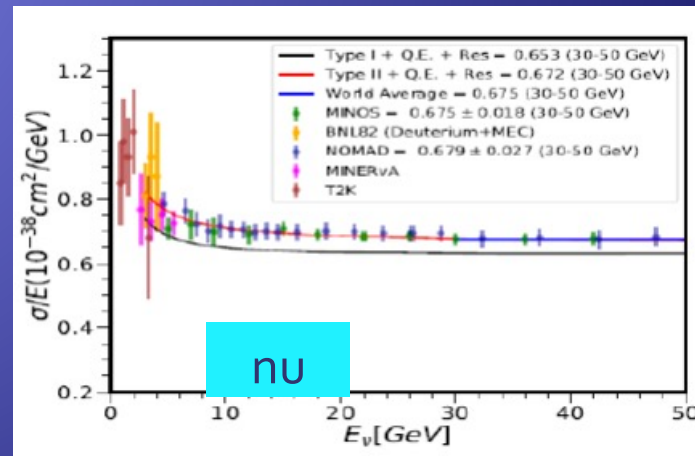
Axial-vector contributions from neutrino data (CCFR (Fe), CHORUS (Pb))

nu

Anti-nu



- Blue point: CHORUS/theory (type II)
- Solid line: theory (type I)/(type II)
 - Type I (Vector = Axial at low Q^2)
 - Type II (Vector < Axial at low Q^2)



→ Different axial vector contributions are clearly shown!

Bodek-Yang(BY) Model

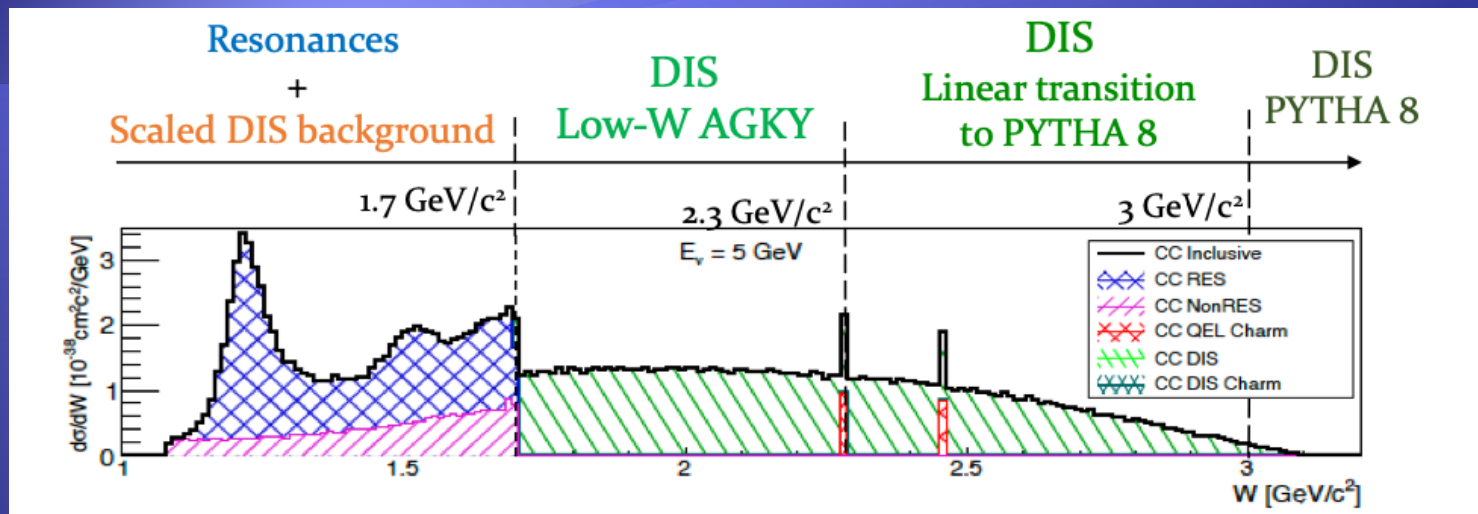
- BY Effective LO model with ξw describe all e/μ DIS and resonance data as well as photo-production data (down to $Q^2=0$): provide a good reference for neutrino cross section
- Model also works well down to $W=1.4$ GeV, thus providing overlap with resonance models
- Low energy neutrino experiments can normalize their data to our model to extract their flux

J. Phys. G 29 (2003) 1899, arXiv.2108.09240

TABLE III. Summary of the neutrino event generators and choice of models used by each to generate the inclusive cross-section predictions against which comparisons to this measurement are made. RFG = relativistic Fermi gas, LFG = local Fermi gas, LS = Llewellyn Smith, RPA = random phase approximation, RS = Rein-Sehgal, BS = Berger-Sehgal, BY = Bodek-Yang, PY = PYTHIA, BUU = Boltzmann-Uehling-Uhlenbeck.

Generator	QE/MEC initial state	QE	MEC	Res	DIS	FSI
GENIE v2.12.2	RFG	L-S	Empirical	RS	BY + PY 6	hA (data-driven empirical cascade)
GENIE v3.00.06	LFG	València	València	BS	BY + PY 6	hN (Oset (pions) + GENIE (nucleons))
NEUT v5.4.0	LFG	València	València	RS	BY + PY 5	Oset + external data
NuWro 2019	LFG	L-S + RPA	València	BS	BY + PY 6	Oset (pions) + NuWro (nucleons)
GiBUU 2019	LFG	GiBUU Model			BY + PY 6	BUU Equations

Tuning resonance, inelastic scattering cross sections into MC generators



PRD 104 (2021) 072009

GENIE's Shallow-Inelastic Scattering model

RES

- Rein-Sehgal or Bergher-Sehgal are the starting point
- Added additional resonances
- Dipole Parameterization

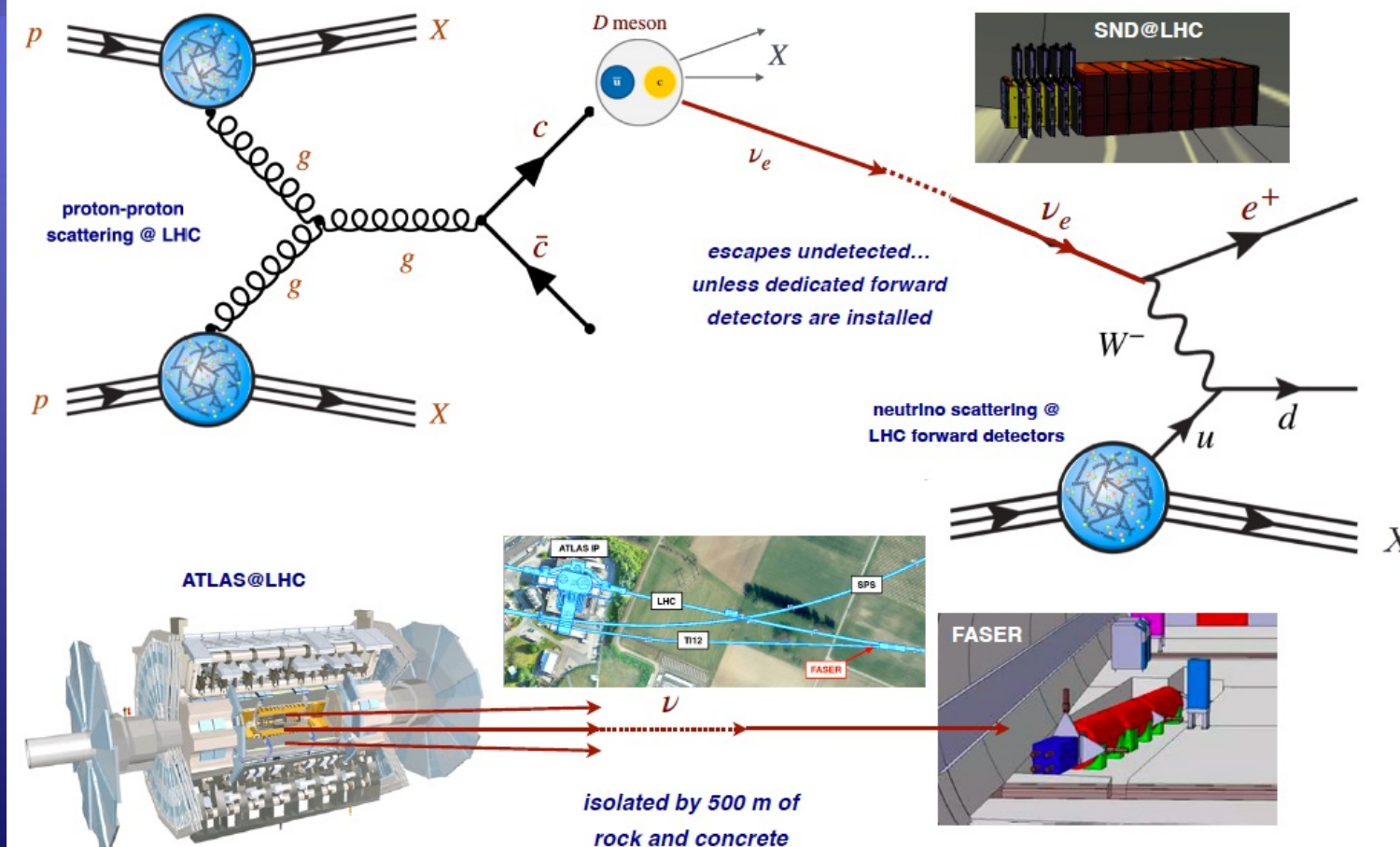
Non-resonant bkg

- Duality-based approach
- Scaled Bodek-Yang model
- Scaling factors depend on initial state and hadron multiplicity
- Coupled to low-W AGKY model

DIS

- Bodek-Yang model
- Cross-section calculation at partonic level
- AGKY hadronization model

TeV neutrinos at the LHC: Faser experiment



Faser at the LHC:

Presented in ICHEP 2024

PHYSICAL REVIEW LETTERS 133, 021802 (2024)

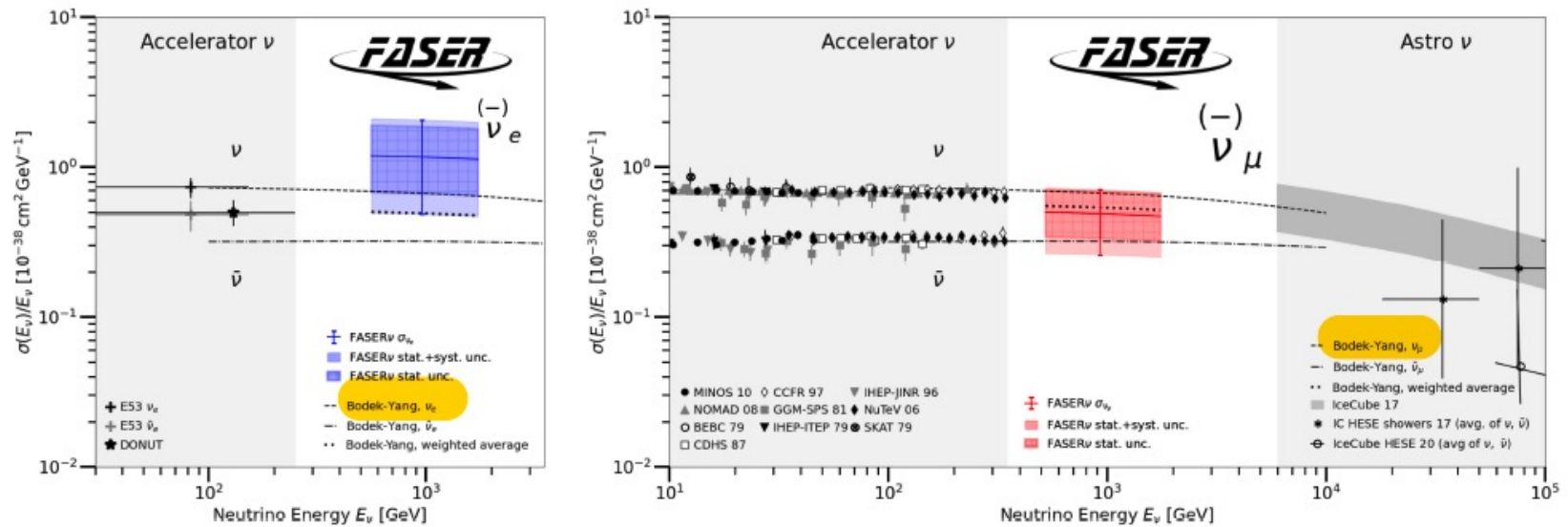
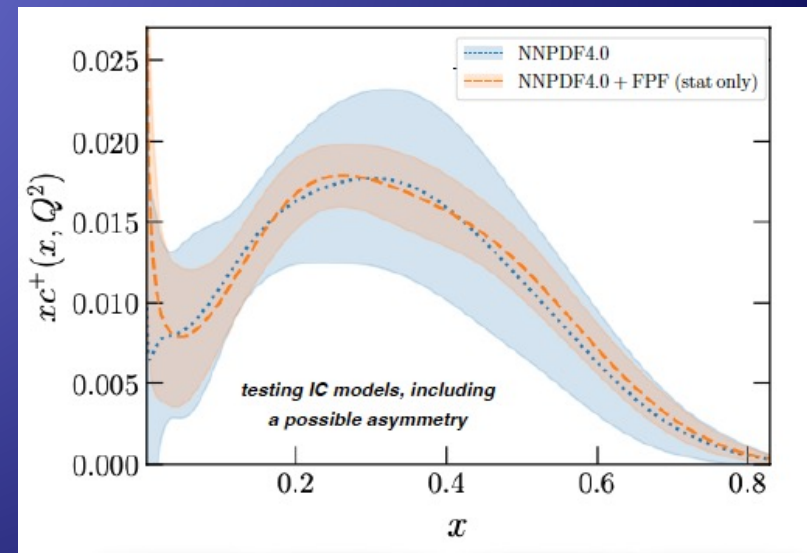
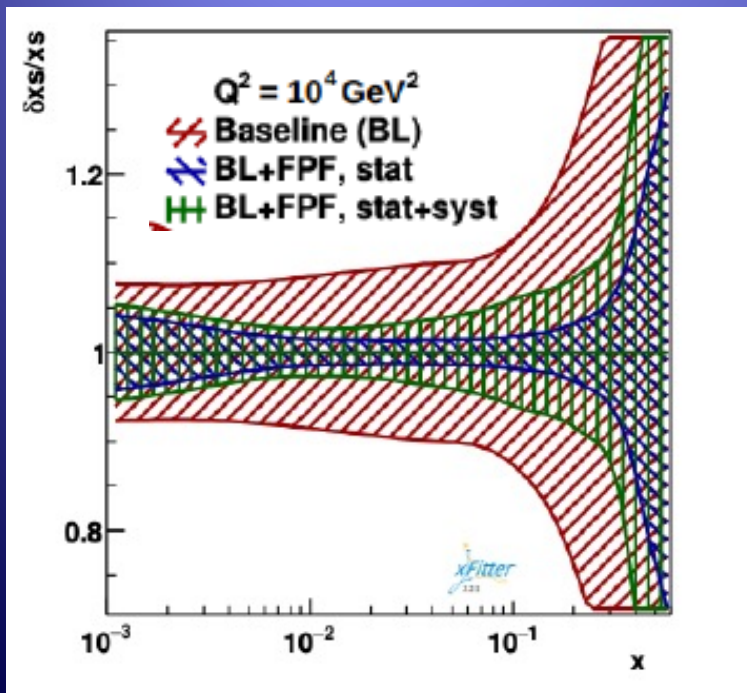
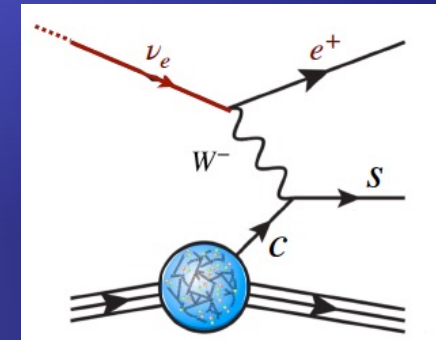
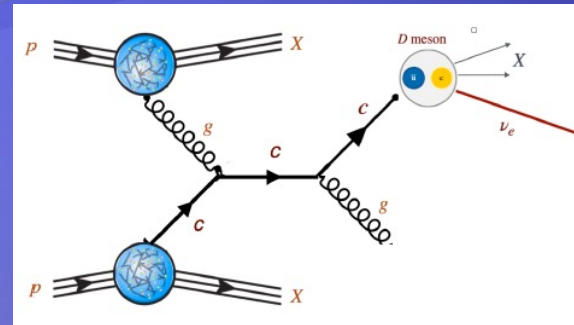
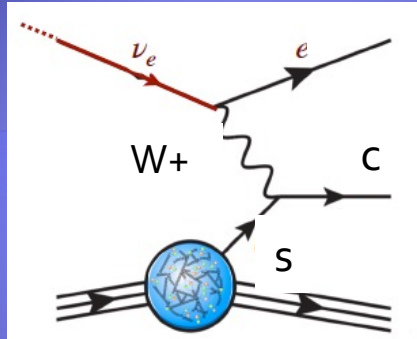


FIG. 4. The measured cross section per nucleon for ν_e (left) and ν_μ (right). The dashed contours labeled “Bodek-Yang” are cross sections predicted by the Bodek-Yang model, as implemented in GENIE. Note that the displayed experiments do not all use the same targets.

Impact of the LHC Neutrinos



Summary and Outlook

- Neutrino experiments have provided precision QCD studies using high energy and high intensity neutrino beams.
- Neutrino oscillation experiments brought new challenges in understanding neutrino interactions in low neutrino energy region
- Many improvements and important measurements have been made for last two decades in building models: resonance, SIS, DIS regions
- Bodek-Yang model have been used for DIS and DIS regions.
- For future neutrino oscillation experiments for DUNE, HyperK, it is important to understand following effects
 - Different nuclear effect in neutrino
(LHC collider experiments, Drell-Yan, W, Z)
 - Axial vector contribution
 - Proper systematic treatments in old dataset is important
 - Inputs from LHC Forward Physics Programs will be useful



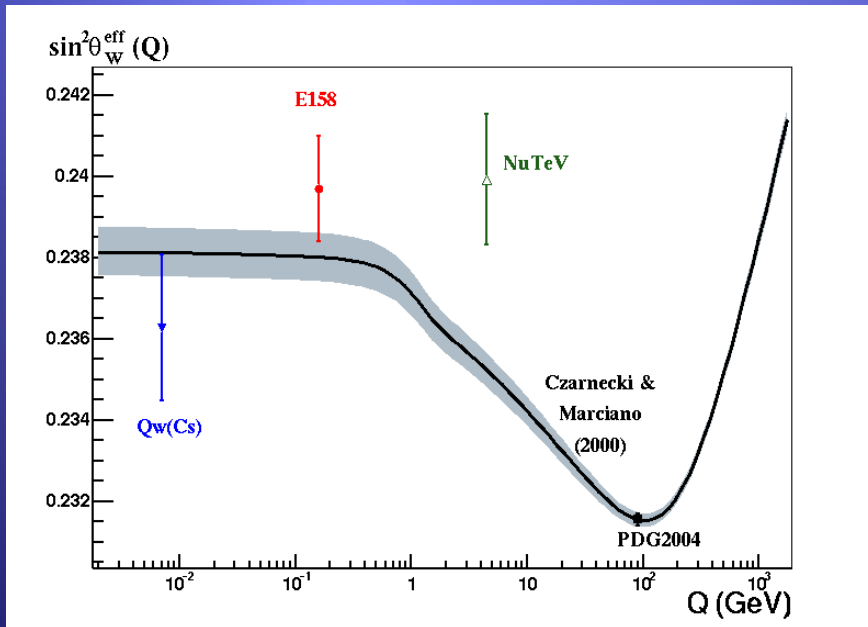
**Thank You
Bodek-Yang**

Backup slides

Precision Neutrino data: electroweak mixing angle (CC / NC ratio)

3 σ effects bring many issues!

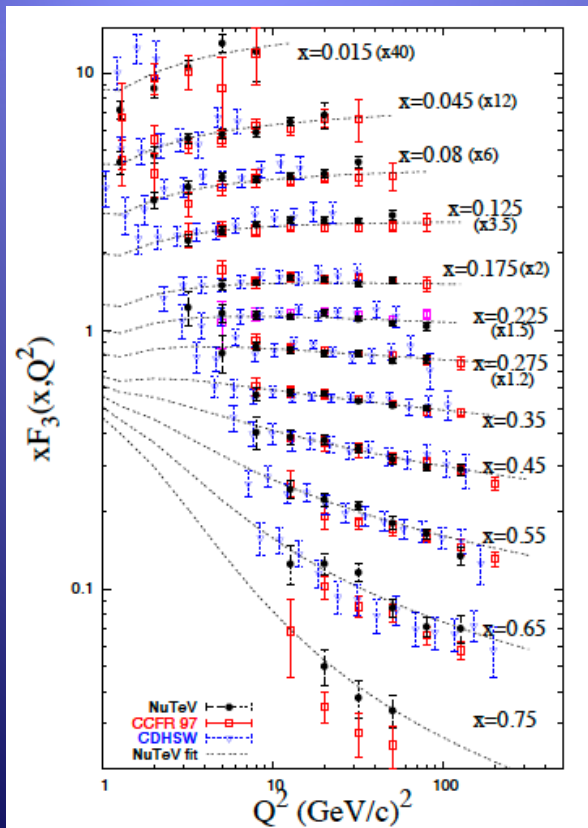
- Missing higher order QCD effect
- Different nuclear shadowing effect between NC and CC
- Charge symmetry violation (d quark pdf inside neutron vs u quark density inside proton)
- Asymmetry in strange sea (strange vs anti-strange quark)
- Possible to make an agreement within 1 σ : PLB 693 (2010) 462 by Bentz et al.



PRL 88 (2002) 091802

Nuclear effect in neutrino?

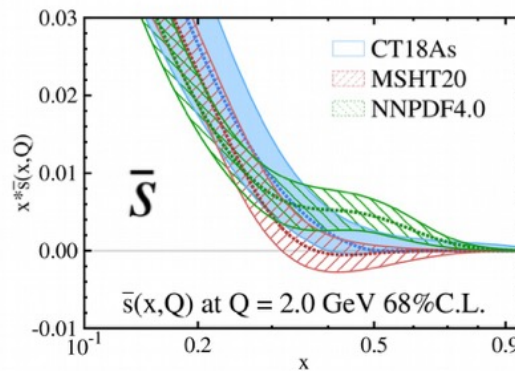
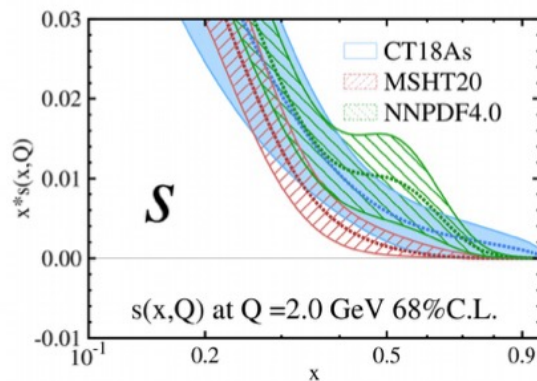
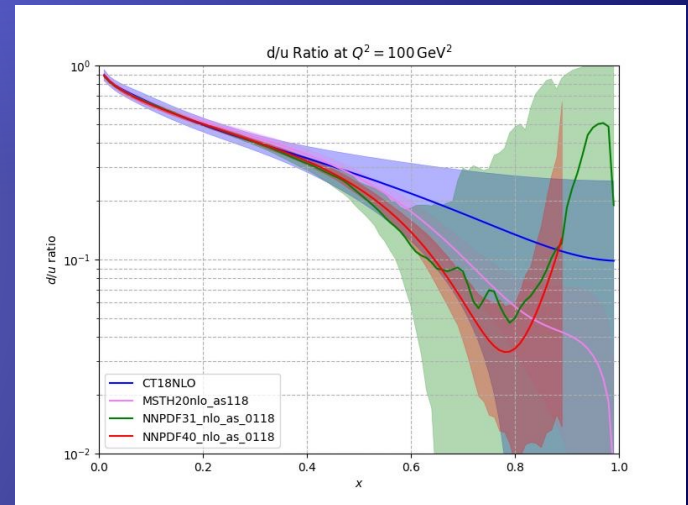
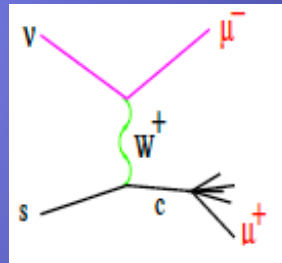
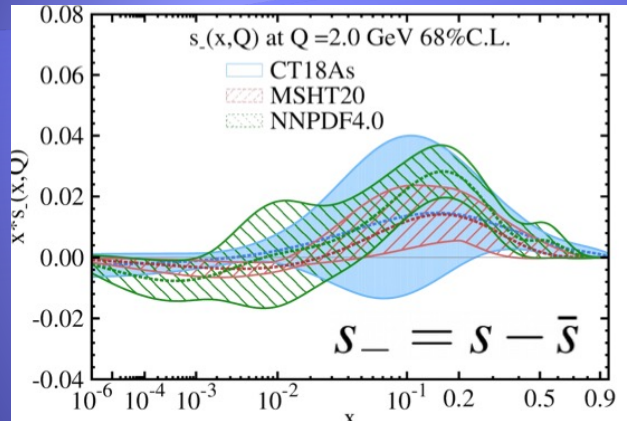
- Charged lepton scattering data (p,D) show good agreement with precision neutrino data in $0.01 < x < 0.6$ and $1 < Q^2 < 100 \text{ GeV}^2$
- But discrepancy shown in high x (> 0.5) between CCFR and NuTeV data



- NuTeV: refurbished CCFR detector, Better and precise calibration than CCFR calibrations
- Different neutrino effect at high x region?
- Future test will be necessary

Asymmetry in strange quark

- Tension from ATLAS W/Z data and neutrino dimuon data



Hou, Huey-Wen Lin, Mengshi Yan, C.-P. Yuan, 2211.11064]

DUNE Cross Section Modeling

Cross Section Modeling

DUNE TDR Cross Section Model (Eur. Phys. J. C 80, 978 (2020))

- Example: DUNE TDR LBL Analysis
- All of the uncertainty in ν -Ar modeling is captured in these ~30 parameters
 - The LBL analysis implicitly assumes that every other part of the xsec model is correct
 - e.g. the shapes of the distributions modified by these parameters are assumed to be correct; they need only be scaled by a correct parameter value
- The ND fit can strongly constrain these parameters
 - DUNE ND will collect nearly 1M events per week
- It turns out to be very easy to constrain xsec errors beyond the level at which we trust our models (see next slides)
 - In the DUNE TDR analysis, non-zero xsec errors were achieved by choosing minimum-allowed values for detector errors

GENIE Xsec Parameters

Description	1σ
Quasielastic	
M_A^{QE} , Axial mass for CCQE	$^{+0.25}_{-0.15}$ GeV
QE FF, CCQE vector form factor shape	N/A
p_F Fermi surface momentum for Pauli blocking	$\pm 30\%$
Low W	
M_A^{RES} , Axial mass for CC resonance	± 0.05 GeV
M_V^{RES} Vector mass for CC resonance	$\pm 10\%$
Δ -decay ang., θ_π from Δ decay (isotropic $\rightarrow$ R-S)	N/A
High W (BY model)	
A_{HT} , higher-twist in scaling variable ξ_w	$\pm 25\%$
B_{HT} , higher-twist in scaling variable ξ_w	$\pm 25\%$
C_{V1u} , valence GRV98 PDF correction	$\pm 30\%$
C_{V2u} , valence GRV98 PDF correction	$\pm 40\%$
Other neutral current	
M_A^{NCRES} , Axial mass for NC resonance	$\pm 10\%$
M_V^{NCRES} , Vector mass for NC resonance	$\pm 5\%$

GENIE FSI Parameters

Description	1σ
N. CEX, Nucleon charge exchange probability	$\pm 50\%$
N. EL, Nucleon elastic reaction probability	$\pm 30\%$
N. INEL, Nucleon inelastic reaction probability	$\pm 40\%$
N. ABS, Nucleon absorption probability	$\pm 20\%$
N. PROD, Nucleon π -production probability	$\pm 20\%$
π CEX, π charge exchange probability	$\pm 50\%$
π EL, π elastic reaction probability	$\pm 10\%$
π INEL, π inelastic reaction probability	$\pm 40\%$
π ABS, π absorption probability	$\pm 20\%$
π PROD, π π -production probability	$\pm 20\%$

Additional Xsec Parameters

Uncertainty	Mode
BeRPA [A,B,D]	$1p1h/\text{QE}$
ArC2p2h [$\nu, \bar{\nu}$]	$2p2h$
E2p2h [A,B] [$\nu, \bar{\nu}$]	$2p2h$
NR [$\nu, \bar{\nu}$] [CC,NC] [n,p] [$1\pi, 2\pi, 3\pi$]	Non-res. pion
ν_e PS	$\nu_e, \bar{\nu}_e$ inclusive
$\nu_e/\bar{\nu}_e$ norm	$\nu_e, \bar{\nu}_e$ inclusive
NC norm.	NC